



Center for AI and
Digital Policy

ANNUAL REPORT

MISSION, PROGRAMS
& ACCOMPLISHMENTS

2021

www.caidp.org

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MISSION

The **Center for AI and Digital Policy** aims to ensure that artificial intelligence and digital policies promote a better society, more fair, more just, and more accountable – a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law.

The **Center** provides AI policy advice to international organizations and national governments, offers intensive training for future leaders in AI policy worldwide, tracks Public Voice opportunities for public comment on AI policy, promotes collaboration among scholars through a Global Academic Network, and maintains a global research network. The **Center** is incorporated as a non-profit, educational organization in Washington, DC.

PROGRAM AND ACTIVITIES

- Train future leaders in the field of AI policy
- Advise national governments and international organizations on AI policies and practices
- Publish commentaries on AI policy
- Update and publish annually *Artificial Intelligence and Democratic Values Index*
- Publish the *CAIDP Update*
- Support AI initiatives, projects, and campaigns that safeguard the rule of law, democratic institutions, and fundamental rights
- Organize educational events with AI policy experts
- Partner with other civil society organizations with similar values and goals

CAIDP Research Group

The **Research Group** provides the basis for the **Center's** policy work. The Research Group members identify Significant AI Policy News, assess country AI policies and practices, draft statements on AI policy to national governments and international organizations, and examine emerging trends in AI Policy. Research Group Team Leaders coordinate research group activities, prepare 5 Key Points presentations, and develop advanced AI policy skills. Graduation from the Policy Clinic is a prerequisite to be a Team Leader.

The CAIDP AI Policy Certificate

The **Center** has launched a comprehensive certification program for AI Policy. The certification program is an outgrowth of the work of the Research Group, and includes requirements for research, writing, and policy analysis. Receipt of the *CAIDP AI Policy Certification* requires completing a detailed multi-part test. The subjects are AI History, AI Issues

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and Institutions, AI Regulation, and Research Methods. Candidates must also sign a Statement of Professional Ethics for AI Policy. CAIDP Certificates are available for:

- Artificial Intelligence Policy
- Artificial Intelligence Policy - Advanced
- Artificial Intelligence Policy Seminar

CAIDP has also launched the first code of professional ethics for the field of AI policy. The goal is to ensure that those who provide advice regarding AI policy commit themselves to a high level of professional conduct. The **CAIDP Statement of Professional Ethics** recognizes that AI systems impact the rights and opportunities of others, may pose a risk to public safety, and that bias is an ongoing challenge. The Statement requires individuals to ensure that AI systems are fair, accountable, and transparent among other obligations. Endorsement of the **Statement of Professional Ethics** is necessary to receive the **CAIDP AI Policy Certificate**.

CAIDP Externships

CAIDP provides the opportunity for full-time students in law or public policy to work with the **Center** during the Semester for academic credit. Typical externships include intensive work in AI policy research and analysis and the opportunity to connect with experts and leaders in the AI policy field.

CAIDP has recently partnered with **Duke Law School, Georgetown Law, Harvard College, and Stanford Law School** for externship opportunities. We welcome other partnerships. Interested applicants should send a letter of interest, a CV, and a relevant writing sample to [Marc Rotenberg](#). Institutions may also contact Marc for further information about the program. Listings in Externship Directories are appreciated.

CAIDP Publications and the CAIDP Update

The **Center for AI and Digital Policy** makes freely available to members of the **Global Academic Network** CAIDP publications, including *The AI Policy Sourcebook* and *Artificial Intelligence and Democratic Values Index*. The **Center** also promotes the publications of members of the Global Academic Network.

In 2021 several members of CAIDP contributed to the special anniversary edition of the *Turkish Policy Quarterly* on **Artificial Intelligence and Democratic Values**.

We continue to publish our CAIDP Update newsletter to provide timely information about AI Policy. Recent *CAIDP Updates* reported on American attitudes toward AI, China's regulations of algorithms, the UN's failures to prohibit "slaughter bots," the adoption of the UNESCO Landmark Global Agreement on AI, the 2021 G20 outcome that Elevated Privacy and Gender Equality, the remarks of President Joe Biden regarding AI policy at the Munich Security

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Conference, the decision of the Canadian Privacy Commissioners regarding ClearviewAI, the Council of Europe's proposed ban on facial recognition, President von der Leyen's welcome to the Biden administration and a proposal for a standard digital agenda, the decision of the FTC to require the deletion of AI models developed from data unfairly obtained, and a decision by an Italian court concerning the use of algorithms for employee determinations.

We plan to expand our coverage of AI policy issues with a monthly survey that will look more closely at AI policy developments in six regions of the world - South America, North America, Africa, Europe, Central Asia, and East Asia.

Global Academic Network

CAIDP launched the **Global Academic Network (GAN)** in 2021. The Global Academic Network builds on the expertise of the CAIDP Team members, the work of the CAIDP Research Group, and the opportunity to collaborate with AI Policy research institutions around the world. The CAIDP Global Academic Network now includes over 30 leading experts in AI policy from almost 20 countries. The members of the GAN help guide the work of CAIDP, providing advice and direction and also reviewing CAIDP program activities.

The **Global Academic Network** is established to:

1. Promote well-informed AI Policy and cutting-edge research
2. Provide high-quality resources to those working in the field of AI Policy
3. Promote collaboration among those working in the field of AI Policy
4. Identify emerging issues in the area of AI Policy
5. Enable networking opportunities for those in the area of AI Policy
6. Establish ethical standards for AI Policy

CAIDP Policy Events and Conversations

CAIDP routinely holds policy events with leading AI policy experts. In November 2021, CAIDP hosted a policy event on **AI and Democratic Values** with Groupe d'études Géopolitiques, Ecole Normale Supérieure, Paris for #ParisAIweek. Featured speakers included Gilles GRESSANI, Groupe d'Etudes Géopolitiques, Ecole Normale Supérieure Gabriele RAMOS, Assistant Director-General for Social and Human Science, UNESCO; Andrew W. WYCKOFF, Director of Science, Technology and Innovation, OECD; Leyla KESER, Dean, Istanbul Bilgi University and CAHAI Advisor; Juha HEIKKILA, Advisor for Artificial Intelligence, European Commission, DG CONNECT; Gianclaudio MALGIERI, Associate Professor of Law & Technology at the EDHEC Business School in Lille (France); Ella JAKUBOWSKA, Policy Advisor, EDRI ; Edward SANTOW, Professor, Responsible Technology, University of Technology Sydney; Former Chair, Australian Human Rights Commission; Félicien VALLET, AI Lead, Commission Nationale de l'Informatique et des Libertés, Office of the UN High Commissioner for Human Rights; and, Victor

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STORCHAN, Member, Groupe d'Etudes Géopolitiques, Ecole Normale Supérieure ; Vice-President, AI ML Lead, JP Morgan Chase & Co.

The **Center** for AI and Digital Policy hosts monthly **Conversations** with leading thinkers, artists, and policymakers in the AI realm. Recent CAIDP Conversations featured **Shalini Kantayya** (the director of *Coded Bias*), **Cade Metz** (the author of *Genius Makers*), **Gregor Strojín** (the chair of the CAHAI, the Council of Europe Expert Group on AI), International Master **Ken Regan** (expert on AI and chess), **John Podesta** (Presidential advisor), and **David Sanger** (NY Times bureau chief).

The **Center** also participates in many international conferences to bring policymakers, academics, and civil society together to discuss AI policy issues related to fundamental rights, democratic values, and rule of law. In 2021, CAIDP hosted a panel at the conference on Computers Privacy and Data Protection with CAIDP Research Director **Merve Hickok** as the moderator, and with panelists **MEP Alexandra Geese**, **Peggy Valcke** (Vice-Chair of CAHAI), **Friederike Reinhold** (AlgorithmWatch), and **Oreste Pollicino** (Italian member of the OECD Global Partnership on Artificial Intelligence). Merve Hickok and CAIDP President **Marc Rotenberg** also spoke at the AI Athens Roundtable in November 2021.

The Public Voice

The **Center for AI and Digital Policy** routinely identifies opportunities for public comment on AI policy posted by government agencies worldwide. We strongly encourage AI policy programs to participate in these opportunities, and we assist in the drafting of effective comments.

ACCOMPLISHMENTS

“Artificial Intelligence and Democratic Values”

In February 2022, CAIDP published the updated global ranking of countries, based on national AI policies and practices. CAIDP’s flagship report – **Artificial Intelligence and Democratic Values Index** measured progress toward AI policies that safeguard human rights and the rule of law. The **AIDV Index** bridges the gap between policies and practices with fact-based evaluations of individual countries, and ratings and rankings to compare countries and evaluate trends over time.

The **AIDV Index**, prepared by CAIDP, is based on global norms found in the OECD/G20 AI Principles, the Universal Declaration of Human Rights, and the new UNESCO Recommendation on AI Ethics. Policies and practices were evaluated for 50 countries. There was also a careful analysis of AI-related developments in 2021 at international organizations, including the G7 and

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the G20, the European Union, and the Council of Europe. This was the second edition of the report, and reflected the contributions of more than 100 experts and advocates from almost 40 countries.

Canada, Germany, Italy, and Korea scored in the top tier for their global leadership on AI policy, commitment to democratic values, and meaningful engagement with the public on proposed AI strategies. Also crucial for top rankings was a well-established data protection infrastructure, support for algorithmic transparency, and a commitment to fairness, accountability, and transparency for AI systems.

The 600-page report sets out key recommendations to guide policymakers and the public toward the goal of ensuring AI systems that respect human rights, democracy, and the rule of law.

- 1) Countries must establish national policies for AI that implement democratic values
- 2) Countries must ensure public participation in AI policymaking and also create robust mechanisms for independent oversight of AI systems
- 3) Countries must guarantee fairness, accountability, and transparency in all AI systems
- 4) Countries must commit to these principles in the development, procurement, and implementation of AI systems for public services
- 5) Countries must halt the use of facial recognition for mass surveillance

In the most recent report, CAIDP set out three new recommendations:

- 6) Countries must curtail the deployment of lethal autonomous weapons
- 7) Countries must begin implementation of the UNESCO AI Recommendation
- 8) Countries must establish a comprehensive, legally binding convention for AI

Featured speakers for the report release included **Eva Kaili**, Vice President European Parliament; Professor **Eduardo Bertoni**, Inter American Institute of Human Rights; **Jibu Elias**, National AI Portal of India; **Fanny Hidvegi**, Access Now, European Policy Manager; and Professor **Stuart Russell**, University of California Berkeley. The CAIDP report was featured in *AI Decoded*, *Digital Bridges*, *the Council of Foreign Relations*, *the Korea Herald*, and other publications.

CAIDP AI Policy Clinic Graduates

CAIDP works to educate future leaders in AI policy around the world. In 2021 the Center launched the AI Policy Clinic, an intensive introduction to the world of AI policy. The participants conduct research and policy analysis and also develop presentation skills. At the end of the Clinic, students receive the *CAIDP AI Policy Certification* with successful completion of a comprehensive multi-part test. Applications for the AI Policy Clinic are open to professionals and graduate students worldwide. CAIDP values diversity and inclusion. Those who have completed the first Clinic can participate in the Advanced AI Policy Course or the AI Policy Seminar.

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The **Center** has graduated three separate cohorts of students, and awarded certificates for **AI Policy**, **AI Policy Advanced**, and the **AI Policy Seminar**. Cumulative numbers as of the end of April 2022 are

- AI Policy Clinic attendees: **106**
- AI Policy Clinic graduates: **84**
- AI Policy Clinic graduates: **24**
- AI Seminar graduates: **7**
- Externs: **9**
- Countries represented in the CAIDP AI Policy Clinics: **48**

CAIDP Statements

In 2021 and early 2022, the **Center** provided comments on AI policy to the many national governments and international organizations. The CAIDP Statements emphasized support for democratic values, fundamental rights, and the rule of law. All of these statements are available on the CAIDP website.

International Organizations

- The African Commission on People and Humans Rights
- The Council of Europe, Ad Hoc Committee on Artificial Intelligence
- The European Commission
- The European Parliament
- The C20/G20
- The EU-US Trade and Technology Council
- The Organization of American States
- The OECD

National Governments

- The State Internet Information Office of China
- The Government of Colombia
- The UK Department for Digital, Culture, Media, and Sport
- The US AI Task Force
- The US National Security Commission on AI
- The US Office of Management and Budget
- The US Office of Science and Technology Policy

In the spring of 2022, CAIDP also issued a statement in Support of Ukraine, writing in part

As an organization established to defend fundamental rights, democratic institutions, and the rule of law, we condemn this blatant violation of international law. This is an act of aggression against a sovereign, independent, and peaceful country.

We urge all nations to make clear their support for Ukraine.

We also stand with international organizations in the field of digital policy, including the OECD, the Council of Europe, the European Union, and UNESCO, that have opposed the Russian invasion of Ukraine.

CAIDP Partnerships

CAIDP is pursuing a wide range of projects on Artificial Intelligence policy with critical partners, including

- ABA Rule of Law Initiative
- ACM AI Policy Committee
- Council of Europe Committee on AI (Observer)
- Council on Foreign Relations (AI education modules for World 101)
- European Law Institute (Model Rules on Impact Assessment of Algorithmic Decision-making Systems Used by Public Administration)
- European Parliament (EU AI Act)
- Global Partnership on AI (Data Governance)
- G7 2022 (German Presidency), G20 2022 (Indonesian Presidency)
- International Working Group on Data Protection (Facial Surveillance, Smart Cities)
- OECD AI Group of Experts
- UNESCO Recommendation on AI Ethics (NGO liaison committee, implementation)
- European Digital Rights Initiative

ACCOLADES

Reviews of AI Policy Clinics

During these weeks, I learned to identify relevant AI policy news items, got to participate in drafting a statement answering a public voice opportunity in relation to data protection in automated decision-making, dissected the national AI strategy of Luxembourg and learned a lot about policy-making in the field.

It's been an absolute pleasure to have been supervised by the [Center for AI and Digital Policy](#) leaders. Thanks to all participants and group leaders, you and your contributions have been an enrichment.

- GC

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At the core of the AI Policy certification program is the advocacy for human-centric AI, fundamental human rights, the promotion of fairness, transparency, justice, and the rule of law.

The holistic curriculum amalgamated technical and policy discussions of the most important AI Ethics frameworks that guide the adoption of AI around the world. The identification of AI significant policy news; Public voice opportunities, and participation in the formulation of AI policy statements to government bodies, prepared us for the ultimate assessments: a final examination and a country report analyzing AI policies and practices in selected countries, under the light of the CAIDP AI and Democratic Values Index

It is my aspiration that more participants from the Middle East and the UAE join this group in the next cohort.

- GT

CAIDP is a remarkable project. I can only express admiration and gratitude for the outstanding learning experience. I am honored to have participated, and grateful for my new contacts and associates.

- KPL

Four months, many interesting discussions and even more insightful presentations, as well as one AI policy report later, I am happy to share that I have successfully completed CAIDP AI Policy Clinic. It was a pleasure to join the [Center for AI and Digital Policy](#) community and to learn from my peers. Thank you for bringing us all together, for your intellectual rigour and for all the knowledge shared with us during these months.

If deepening your knowledge in Artificial Intelligence Policy is on your bucket list, wait no more - applications for Fall 2022 Research Group are open! Find the link in the comments.

- NB

What stood out to me about CAIDP, was the inclusiveness of the community. I've been in places where people don't talk to me or even look at me because of my colour, or my job title's not senior enough for them. The leaders of CAIDP's policy clinic and the team leaders didn't care about that. They were welcoming, generous with their knowledge, and set a fantastic example for the group.

- GKC

I cannot recommend joining the AI Policy Clinic enough to all those in my network who are interested to explore how we can ensure that [#artificialintelligence](#) and [#digitalpolicies](#) promote a better society, more [#fair](#), more [#just](#), and more [#accountable](#) – a world where technology promotes broad [#socialinclusion](#) based on [#fundamentalarights](#), [#democraticinstitutions](#), and the [#ruleoflaw](#).

- IB

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I can't think of a better outcome from the [Center for AI and Digital Policy](#)'s outstanding training on AI Policy than receiving policy certification. I am so grateful to the CAIDP leaders for making this happen and granting me the opportunity.

I am also inspired and thrilled to meet all the diverse and talented individuals from all over the world with whom I completed this program. Thanks to team Asia for a fantastic journey - you guys are amazing. Thank you all!

- TK

Very grateful for the opportunity to be part of this research group/policy clinic with the [Center for AI and Digital Policy](#). It was a wonderful journey. I learned a lot about AI policy and enjoyed working together with researchers from all over the world.

- TL

It is with a heart full of joy and pride that I say thank you to the Center for AI and Digital Policy for choosing me for this program. Many thanks to the entire CAIDP team, and thanks also to the other members of the research group.

- ED

I feel deeply honored and would like to express my heartfelt gratitude to CAIDP for providing me with the opportunity to undergo the rigorous training on Artificial Intelligence Policy. Without doubt, it is a unique course designed not only to give a holistic view of the domain but is also very helpful in understanding its nuances.

- AK

Reviews of “AI and Democratic Values Index”

“A very worthwhile analysis.”

- Stuart Russel, University of Berkeley, California

“This report is unique in that it compares AI policies and practices around the world and should inspire progress toward trustworthy and human-centric AI. The report also documents the important role of digital rights and consumer groups in this endeavor.”

- Ursula Pahl, European Consumer Association (BEUC)

*“In this historical moment of global interest negotiation and competition on AI we are in right now, we urgently need a shared narrative serving the human interest and democracy. With its comprehensive overview of key global and local power actors and initiatives. **The Artificial Intelligence and Democratic Values Index** is an essential instrument for the facilitation of this shared global dialogue on AI now and in many years to come.”*

- Gry Hasselbalch, DataEthics.eu, InTouchAI.eu

*“It's rare to read a document that has the potential to influence national policies on artificial intelligence around the world. The country descriptions and evaluations are thoughtfully organized, well-written, and carefully documented. The country rankings enable readers to understand who the leaders are and why while pointing out what still needs to be done. The **AI and Democratic Values** index gives me hope that AI policy efforts can improve human rights, social justice, and dignity.”*

- Professor Ben Shneiderman, author, *Human-Centric AI* (Oxford 2022)

“An excellent report, a handy tool for the community, and a reference to see the nexus between AI and democratic values.”

- Leyla Keser, Istanbul Bilgi University

“A unique comparative of AI policy across the world. The Artificial Intelligence and Democratic Values report does not just provide a comprehensive perspective on the evolution of AI policy but also contribute to the underlying relationship between AI and democracy.”

- Professor Oreste Pollicino, Bocconi University

GOVERNING BOARD AND STRUCTURE, OFFICERS

CAIDP is managed by a Board of Directors that meets quarterly to review the organization's program activities, finances and fundraising, policies, and management. Board members serve a three-year term. Officers are elected for a one-year term.

Board of Directors and Officers

- Merve Hickok, Chair
- Marc Rotenberg, President
- Karine Caunes, Secretary
- Pablo Molina, Treasurer
- Doaa Abu-Alyounes
- Len Kennedy
- Lorraine Kisselburgh
- Cristos Velasco
- Larissa Zutter

Board Committees

Finance (Chair: Pablo Molina)

Outreach (Chair: Merve Hickok)

Program (Chair: Karine Caunes)

ELIGIBILITY TO RECEIVE DEDUCTIBLE CONTRIBUTIONS

CAIDP was incorporated as a non-profit, educational organization in Washington, DC, in April 2021 and received a favorable tax-exempt determination by the IRS in December 2021. CAIDP's EIN is 86-3350258. CAIDP's financial information, tax determination, and Certificate of Good Standing are available on the CAIDP website.

The work of the **Center** is made possible by the voluntary contributions of an expert group of AI policy researchers around the globe and the donations of private individuals and charitable foundations. CAIDP does not receive support from governments or technology companies and is not aligned with political parties.

FINANCIAL COMPILATION

April 4, 2021 (date of inception) to December 31, 2021

Statement of Financial Position

Assets

Cash and cash equivalents	6,200	
Receivables: grants	69,500	
Total assets		\$ 75,700

Liabilities

Accounts payable	<u>10,000</u>	
Total liabilities		<u>10,000</u>

Net Assets

Without donor restriction	65,700	
Total net assets		<u>65,700</u>
Total liabilities and net assets		<u>\$ 75,700</u>

Statement of Activities

Revenue

Grants and Contributions	<u>114,726</u>	
Total revenue		\$ 114,726

Expenses

Program Services	45,619	
General and administrative	3,407	
Fundraising	<u>0</u>	
Total expenses		<u>49,026</u>

Change in Net Assets 65,700

Net Assets: April 4, 2021 0

Net Assets: December 31, 2021 \$ 65,700

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Statement of Functional Expenses

	<u>Program Services</u>	<u>General and Administrative</u>	<u>Total</u>
Administrative fees		\$ 3,000	\$ 3,000
Bank fees		407	407
Dues and subscriptions	\$ 1,092		1,092
Instructors	30,000		30,000
Fellowships	589		589
Office supplies	983		983
Communications	5,871		5,871
Travel, conferences	<u>7,084</u>	<u> </u>	<u>7,084</u>
Total expenses	<u>\$ 45,619</u>	<u>\$ 3,407</u>	<u>\$ 49,026</u>

Accountants' compilation report prepared by Glass Jacobson, PA, Certified Public Accountants, Rockville, MD. April 22, 2022. Complete 2021 Financial Statements and 2021 Form 990 available at the CAIDP website.

CONTACT US

We always welcome input and suggestions.

Upcoming AI Policy Events? Email events@caidp.org

Public Voice opportunities? Email publicvoice@caidp.org

Significant AI Policy News to report? Email news@caidp.org

Suggestions for CAIDP publications? Email editor@caidp.org

Questions about supporting CAIDP? Email fundraising@caidp.org

Questions about our AI Policy Clinics? Email teachingfellows@caidp.org

General administrative questions? Email admin@caidp.org

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