



Center for AI and
Digital Policy

Center for AI and Digital Policy

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Center for AI and Digital Policy

👤 aka CENTER FOR AI AND DIGITAL POLICY
📍 Washington, DC
🌐 caidp.org

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Center for AI and
Digital Policy

MISSION

"The Center for AI and Digital Policy aims to promote a better society, more fair, more just — a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law."

EIN

86-3350258

RULING YEAR

2021

IRS SUBSECTION

501(c)(3) Public Charity

FOUNDING YEAR

2020

MAIN ADDRESS

1100 13th St. NW Suite 800
Washington, DC
20008

AFFILIATION TYPE

Independent Organization

CONTACT

Marc Rotenberg, President
(202) 415-6788
marc@caidp.org

CHAIR, RESEARCH DIRECTOR

Merve Hickok

PRESIDENT, EXECUTIVE DIRECTOR

Marc Rotenberg

BOARD CHAIR

Merve Hickok

SUBJECT AREA

Education

Technology

Public interest law

Public policy

Democracy

Human rights

NTEE Code

Research Institutes and/or
Public Policy Analysis (U05)

PROGRAMS

1. AI and Democratic Values Index

Population(s) served:

2. AI Policy Clinics

Population(s) served:

3. Public Voice

Population(s) served:

4. Conferences and Conversations

Population(s) served:

POPULATIONS SERVED

1. Ethnic and racial groups

2. Immigrants and migrants

3. Victims and oppressed people

COMPLIANCE

✓ IRS Pub 78 Verified as of December 2022

✓ IRS BMF 509(a) (1) as of February 14, 2023

Section 509(a)(1) organization as referred to in Section 170(b)(1)(A)(vi)

TRANSPARENCY MEASURES

✓ Board Practices Reported?

✗ Diversity Data Reported?

Programs & Results

PROGRAMS

Source: Self-Reported by Organization, March 2023

AI and Democratic Values Index

Population(s) Served: n/a

The Center for AI and Digital Policy publishes annually "AI and Democratic Values," a comprehensive review of AI policies and practices in 50 countries. The report provides the basis to rate and rank countries, to recognize countries that are making progress, and to call attention to countries that are falling behind. The release of the 2022 edition featured remarks from Professor Stuart Russell, and leading NGO representatives. More than 500 people in 60 countries have contributed to the production of the CAIDP AI and Democratic Values report. This group has also established a global network that seeks to uphold and sustain democratic values in the realm of AI policies

AI Policy Clinics

Population(s) Served: n/a

The Center has launched a comprehensive certification program for AI Policy. The clinics are intensive, interdisciplinary, semester-long courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods. Receipt of the CAIDP AI Policy Certification requires completion of a detailed multi-part test. Candidates are also required to sign a Statement of Professional Ethics for AI Policy. As of March 2023, CAIDP had graduated over 250 students from 60 countries in the introductory AI Policy Clinic, the AI Advanced Policy Clinic, and the AI Policy Seminar. The CAIDP AI Policy Clinics

also provides opportunities for participants to meet with leading AI policymakers, such as Gabriela Ramos, our 2022 graduation speaker, who led the effort on the UNESCO Recommendation on AI Ethics.

Public Voice

Population(s) Served: n/a

Many governments provide opportunities for the public to express their views on AI-related policies. CAIDP highlights these opportunities at caidp.org/public-voice/. The Center for AI and Digital Policy provides recommendations to national governments and international organizations in response to requests for comments and also in situations where the Center believes it is important to highlight important AI policy issues. The Center provided comments on AI policy to these national governments and international organizations:

- The European Parliament
- The G20
- The US Congress
- The Government of Colombia
- The African Commission on People and Humans Rights
- The European Commission
- The Organization of American States
- The OECD
- The European Commission
- The C20
- The EU-US Trade and Technology Council
- The US AI Task Force
- US Office of Management and Budget
- UK Department for Digital, Culture, Media and Sport
- Council of Europe, Ad Hoc Committee on Artificial Intelligence
- The State Internet Infomatio

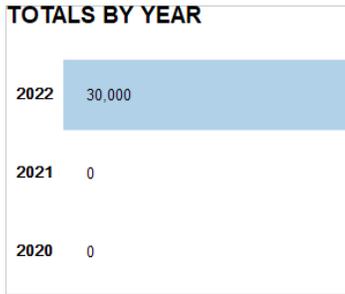
Conferences and Conversations

Population(s) Served: n/a

CAIDP hosts Conferences and Conversations with leading AI policy experts, and participates in AI policy events. Featured speakers at our conferences include Wonki Min (OECD / Korea), Gabriela Ramos (UNESCO), and Professor Stuart Russell. For CAIDP Conversations, we have hosted Shalini Kantayya (the director of Coded Bias), John Podesta (former White House advisor), Gregor Strojín (the chair of the Council of Europe Expert Group on AI), Professor Ben Shneiderman (author, Human-Centered AI), Anu Bradford (author, The Brussels Effect), criminologist Rene Cummings, and others. We have also participated in many international policy conferences, including the Athens AI Roundtable, the Africa Tech Festival, Computers Privacy & Data Protection, the European Law Institute, the Global AI Summit, and the Global Privacy Assembly.

RESULTS

Number of list subscribers

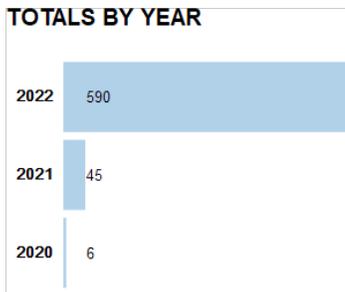


Population(s) Served: No target populations selected

Related program: AI and Democratic Values Index

Notes: We relaunched the CAIDP Update in 2023, and we now have over 30,000 subscribers. We also have more than 12,000 followers on LinkedIn

Total number of organization members



Population(s) Served: No target populations selected

Related program: AI Policy Clinics

Notes: This is the total number of members in our Research Group, including students, graduates, instructors, teaching fellows, and academic advisors. In early 2023, we are approaching 600 participants.

CHARTING IMPACT

What is the organization aiming to accomplish?

- Promote the Universal Guidelines for AI. The UGAI provides a useful framework for understanding and assessing AI policies and practices. We continue to promote the UGAI as a starting for emerging AI policy issues, such as generative AI.
- Monitor implementation of the OECD AI Principles / G20 AI Guidelines and the UNESCO Recommendation on the Ethics of AI. International organizations have already taken important steps for the governance of AI. We have supported these initiatives and we are now working in support of implementation of these important AI policy frameworks.
- Support the establishment of new legal frameworks for AI, including the EU AI Act and the Council of Europe Convention on AI. We work closely with organizations that are seeking to establish legal norms for the governance of AI.
- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP's work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law.

What are the organization's key strategies for making this happen?

- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP's work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law. (as above)
- Advise national governments and international organizations on AI policies and practices. We are actively engaged with the key organization establishing the global norms for the governance of AI, including the Council of Europe Committee on AI, the European Union, UNESCO, the OECD, the G20, and the G7, and also the US Office of Science and Technology Policy.
- Publish commentaries on AI policy. We have published commentaries on AI policies in many publications including Communications of the ACM, Council on Foreign Relations, The European Law Institute, The Hill, Issues in Science and Technology Policy, Techonomy, Verfassungsblog, and others.
- Update and publish annually Artificial Intelligence and Democratic Values. We are about to publish the third edition of our report rating and ranking country AI policies and practices. We expect to cover 75 countries in our 2022 report.
- Publish weekly the CAIDP Update. We are now publishing one of the most popular AI policy newsletters in the world. Our weekly CAIDP Update has more than 30,000 subscribers.
- Support AI initiatives, projects, and campaigns that safeguard the rule of law, democratic institutions, and fundamental rights.
- Organize educational events with AI policy experts

What are the organization's capabilities for doing this?

In a little more than a year, we have built a global network of 500 AI policy experts and advocates in 60 countries. We have a strong presence on LinkedIn (over 12,000 followers) and a substantial subscriber base (over 30,000).

Leading AI policy makers look to us for advice and direction.

We have a talented, eager, dedicated staff, prepared to carry forward the mission of the organization and take on new challenge.

Operations

Source: Self-Reported by Organization, March 2023

CHAIR, RESEARCH DIRECTOR

Merve Hickok

[🔗 LinkedIn profile](#)

Merve Hickok is the Senior Research Director of the Center for AI and Digital Policy, and the Founder of Alethicist.org. Her work intersects both AI ethics and AI policy and governance. She is focused on AI bias, social justice, DE&I, public benefit and participatory development and governance – as they translate into policies and practices. Merve is a Data Ethics Lecturer at University of Michigan, School of Information; Member of the Advisory Board of Turkish Policy Quarterly Journal; Member of the Founding Editorial Board at Springer Nature AI & Ethics journal; Advisor at The Civic Data Library of Context; Member at IEEE work groups on AI standard setting and Open Community for Ethics in Autonomous and Intelligent Systems (OCEANIS) alongside national institutions. She has been recognized by several organizations - most recently as one of the 100 Brilliant Women in AI Ethics™ 2021.

PRESIDENT, EXECUTIVE DIRECTOR

Marc Rotenberg

[🔗 LinkedIn profile](#)

Marc Rotenberg is President and Founder of the Center for AI and Digital Policy. He is a leading expert in data protection, open government, and AI policy. He has served on many international advisory panels, including the OECD AI Group of Experts. Marc helped draft the Universal Guidelines for AI, a widely endorsed human rights framework for the regulation of Artificial Intelligence. Marc is the author of several textbooks including the 2020 AI Policy Sourcebook and Privacy and Society (West Academic 2016). He teaches privacy law and the GDPR at Georgetown Law. Marc has spoken frequently before the US Congress, the European Parliament, the OECD, UNESCO, judicial conferences, and international organizations. Marc has directed international comparative law studies on Privacy and Human Rights, Cryptography and Liberty, and Artificial Intelligence and Democratic Values. Marc is a graduate of Harvard College, Stanford Law School, and Georgetown Law.

BOARD CHAIR

Merve Hickok

Alethicist.org

Term: 2021 - 2024

BOARD MEMBERS

Marc Rotenberg

Karine Caunes

European Law Journal

Pablo Molina

Georgetown University

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UNESCO

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Purdue University

Cristos Velasco

Evidencia Digital.LAT and Protección Datos México

Larissa Zutter

London School of Economics

Ursula Pachel

BEUC

Ivan Fong

Medtronic

Wonki Min

SUNY Korea

STAFF

Staff Type	Name	Title
Senior Staff	Marc Rotenberg	Executive Director
Senior Staff	Kat Norris	Administrative Director
Employee	Selim Alan	Communications Director
Employee	Giuliano Borter	Teaching Fellow
Employee	Karine Caunes	Instructor
Employee	Merve Hickok	Instructor
Employee	Larissa Zutter	Teaching Fellow
Employee	Zhuozheng (Rick) Cai	Law Fellow
Employee	Lyantoinette Chua	Research Fellow
Employee	Somaieh Nikpoor	Research Fellow

BOARD LEADERSHIP PRACTICES

GuideStar worked with BoardSource, the national leader in nonprofit board leadership and governance, to create this section, which enables organizations and donors to transparently share information about essential board leadership practices.

BOARD ORIENTATION & EDUCATION

Does the board conduct a formal orientation for new board members and require all board members to sign a written agreement regarding their roles, responsibilities, and expectations? Yes

CEO OVERSIGHT

Has the board conducted a formal, written assessment of the chief executive within the past year? No

ETHICS & TRANSPARENCY

Have the board and senior staff reviewed the conflict-of-interest policy and completed and signed disclosure statements in the past year? Yes

BOARD COMPOSITION

Does the board ensure an inclusive board member recruitment process that results in diversity of thought and leadership? Yes

BOARD PERFORMANCE

Has the board conducted a formal, written self-assessment of its performance within the past three years? No

Organizational Demographics

Who works and leads organizations that serve our diverse communities? This organization has voluntarily shared information to answer this important question and to support sector-wide learning. GuideStar partnered on this section with CHANGE Philanthropy and Equity in the Center.

Leadership

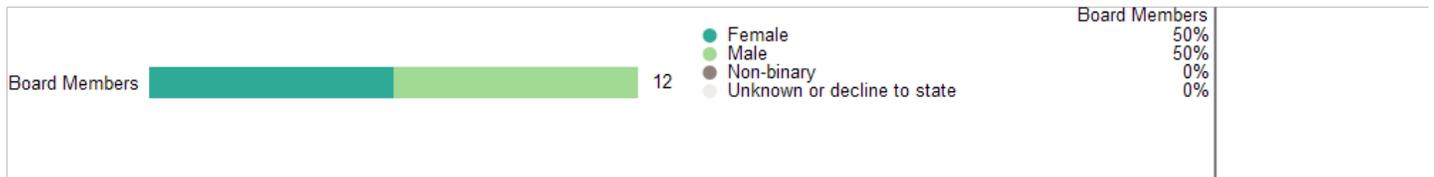
The organization's leader identifies as:

No data

Race & Ethnicity

No data

Gender Identity



Sexual Orientation

No data

Disability

No data.

We do not display staff or senior staff disability information for organizations with fewer than 15 staff.

Equity Strategies *Last Updated: 08/30/2022*

Candid partnered with Equity in the Center - a project that works to shift mindsets, practices, and systems within the social sector to increase racial equity - to create this section. [Learn More](#)

Data

- ✓ We review compensation data across the organization (and by staff levels) to identify disparities by race.

- ✓ We have long-term strategic plans and measurable goals for creating a culture such that one's race identity has no influence on how they fare within the organization.

Policies and processes

- ✓ We have a promotion process that anticipates and mitigates implicit and explicit biases about people of color serving in leadership positions.

- ✓ We seek individuals from various race backgrounds for board and executive director/CEO positions within our organization.

- ✓ We help senior leadership understand how to be inclusive leaders with learning approaches that emphasize reflection, iteration, and adaptability.

Appendix

Key Documents

IRS Forms 990

Not Available

IRS Forms 990T

Not Available

Audited Financial Statements

[2021 Audited Financial Statement](#)

Key Organization Documents

[Form 1023/1024](#)

[2021 Annual Report](#)

GuideStar Charity Check

Center for AI and Digital Policy

Aka CENTER FOR AI AND DIGITAL POLICY

1100 13th St. NW Suite 800
Washington, DC 20008

- ✓ Foundation Status Code: PC*
- ✓ Public charity described in section 509(a)(1) or (2)

IRS Publication 78 Details

✓ IRS Pub 78
verified

Organization name	Location	Most recent IRS Publication 78
Caidp	Washington, DC	December 2022
EIN	Deductibility status description	Verified with most recent Internal Revenue Bulletin
86-3350258	A public charity (50% deductibility limitation).	March 06, 2023

IRS Business Master File Details

IRS BMF 509(a)(1)

Organization name	Most recent IRS BMF	Reason for Non-Private Foundation Status
CAIDP	February 14 2023	Section 509(a)(1) organization as referred to in Section 170(b)(1)(A)(vi)
EIN	IRS subsection	Ruling date
86-3350258	This organization is a 501(c)(3) Public Charity	12/2021
This organization was not included in the Office of Foreign Assets Control Specially Designated Nationals (SDN) list.		

On September 8, 2011, the IRS issued [regulations](#) which eliminated the advance ruling process for a section 501(c)(3) organization. [Learn more](#)

* The Foundation Status Code is the code that foundations are required to provide for each grantee annually on part XV of Form 990PF. Note that this code cannot be derived in some cases (e.g., supporting organizations for which 'type' can't be determined).

[IRS Revenue Procedure 2011-33](#) allows grantors to rely on third-party resources, such as GuideStar Charity Check, to obtain required Business Master File (BMF) data concerning a potential grantee's public charity classification under section 509 (a) (1), (2) or (3).

GuideStar Charity Check Data Sources

-GuideStar acquires all IRS data directly from the Internal Revenue Service.

-[IRS Publication 78 \(Cumulative List of Organizations\)](#) lists organizations that have been recognized by the Internal Revenue Service as eligible to receive tax-deductible contributions.

-The [IRS Internal Revenue Bulletin \(IRB\)](#) lists changes in charitable status since the last Publication 78 release. Between the release of IRS Publication 78 and the subsequent IRS Internal Revenue Bulletin, the IRB date will reflect the most recent release date of IRS Publication 78.

-The [IRS Business Master File](#) lists approximately 1.7 million nonprofits registered with the IRS as tax-exempt organizations.

-The [IRS Automatic Revocation of Exemption List](#) contains organizations that have had their federal tax-exempt status automatically revoked for failing to file an annual return or notice with the IRS for three consecutive years.

-The Foundation Status Code is a value derived by mapping the codes found on the [990PF filing instructions](#) to the corresponding codes in the IRS BMF. Note that not all codes are able to be mapped due to insufficient data.

-The Office of Foreign Assets Control (OFAC) [Specially Designated Nationals \(SDN\) list](#) organizations that are owned or controlled by targeted individuals, groups, and entities, such as terrorists or narcotics traffickers. Their assets are blocked and U.S. persons are generally prohibited from dealing with them.