Center for AI and Digital Policy

"The Center for AI and Digital Policy aims to promote a better society, more fair, more just — a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law."

EIN
86-3350258

RULING YEAR
2021

IRS SUBSECTION
501(c)(3) Public Charity

FOUNDING YEAR
2020

MAIN ADDRESS
1100 13th St. NW Suite 800
Washington, DC
20008

AFFILIATION TYPE
Independent Organization

CONTACT
Marc Rotenberg, President
(202) 415-6788
marc@caidp.org

CHAIR, RESEARCH DIRECTOR
Merve Hickok

PRESIDENT, EXECUTIVE DIRECTOR
Marc Rotenberg

BOARD CHAIR
Merve Hickok

SUBJECT AREA
Education
Technology
Public interest law
Public policy
Democracy
Human rights

NTEE Code
Research Institutes and/or Public Policy Analysis (U05)
PROGRAMS

1. AI and Democratic Values Index
   Population(s) served:

2. AI Policy Clinics
   Population(s) served:

3. Public Voice
   Population(s) served:

4. Conferences and Conversations
   Population(s) served:

POPULATIONS SERVED

1. Ethnic and racial groups
2. Immigrants and migrants
3. Victims and oppressed people

COMPLIANCE

- IRS Pub 78 Verified as of December 2022
- IRS BMF 509(a) (1) as of February 14, 2023
  Section 509(a)(1) organization as referred to in Section 170(b)(1)(A)(vi)

TRANSPARENCY MEASURES

- Board Practices Reported?
- Diversity Data Reported?
Programs & Results

PROGRAMS

Source: Self-Reported by Organization, March 2023

AI and Democratic Values Index

Population(s) Served: n/a

The Center for AI and Digital Policy publishes annually "AI and Democratic Values," a comprehensive review of AI policies and practices in 50 countries. The report provides the basis to rate and rank countries, to recognize countries that are making progress, and to call attention to countries that are falling behind. The release of the 2022 edition featured remarks from Professor Stuart Russell, and leading NGO representatives. More than 500 people in 60 countries have contributed to the production of the CAIDP AI and Democratic Values report. This group has also established a global network that seeks to uphold and sustain democratic values in the realm of AI policies.

AI Policy Clinics

Population(s) Served: n/a

The Center has launched a comprehensive certification program for AI Policy. The clinics are intensive, interdisciplinary, semester-long courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods. Receipt of the CAIDP AI Policy Certification requires completion of a detailed multi-part test. Candidates are also required to sign a Statement of Professional Ethics for AI Policy. As of March 2023, CAIDP had graduated over 250 students from 60 countries in the introductory AI Policy Clinic, the AI Advanced Policy Clinic, and the AI Policy Seminar. The CAIDP AI Policy Clinics also provides opportunities for participants to meet with leading AI policymakers, such as Gabriela Ramos, our 2022 graduation speaker, who led the effort on the UNESCO Recommendation on AI Ethics.

Public Voice

Population(s) Served: n/a

Many governments provide opportunities for the public to express their views on AI-related policies. CAIDP highlights these opportunities at caidp.org/public-voice/. The Center for AI and Digital Policy provides recommendations to national governments and international organizations in response to requests for comments and also in situations where the Center believes it is important to highlight important AI policy issues. The Center provided comments on AI policy to these national governments and international organizations:

- The European Parliament
- The G20
- The US Congress
- The Government of Colombia
- The African Commission on People and Human Rights
- The European Commission
- The Organization of American States
- The OECD
- The European Commission
- The C20
- The EU-US Trade and Technology Council
- The US AI Task Force
- US Office of Management and Budget
- UK Department for Digital, Culture, Media and Sport
- Council of Europe, Ad Hoc Committee on Artificial Intelligence
- The State Internet Information

Conferences and Conversations

Population(s) Served: n/a

CAIDP hosts Conferences and Conversations with leading AI policy experts, and participates in AI policy events. Featured speakers at our conferences include Wonki Min (OECD/Korea), Gabriela Ramos (UNESCO), and Professor Stuart Russell. For CAIDP Conversations, we have hosted Shalini Kantayya (the director of Coded Bias), John Podesta (former White House advisor), Gregor Strojin (the chair of the Council of Europe Expert Group on AI), Professor Ben Shneiderman (author, Human-Centered AI), Anu Bradford (author, The Brussels Effect), criminologist Rene Cummings, and others. We have also participated in many international policy conferences, including the Athens AI Roundtable, the Africa Tech Festival, Computers Privacy & Data Protection, the European Law Institute, the Global AI Summit, and the Global Privacy Assembly.
RESULTS

Number of list subscribers

**TOTALS BY YEAR**

<table>
<thead>
<tr>
<th>Year</th>
<th>Subscribers</th>
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<tr>
<td>2022</td>
<td>30,000</td>
</tr>
<tr>
<td>2021</td>
<td>0</td>
</tr>
<tr>
<td>2020</td>
<td>0</td>
</tr>
</tbody>
</table>

**Population(s) Served:** No target populations selected

**Related program:** AI and Democratic Values Index

**Notes:** We relaunched the CAIDP Update in 2023, and we now have over 30,000 subscribers. We also have more than 12,000 followers on LinkedIn

Total number of organization members

**TOTALS BY YEAR**

<table>
<thead>
<tr>
<th>Year</th>
<th>Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>2022</td>
<td>590</td>
</tr>
<tr>
<td>2021</td>
<td>45</td>
</tr>
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<td>2020</td>
<td>6</td>
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</table>

**Population(s) Served:** No target populations selected

**Related program:** AI Policy Clinics

**Notes:** This is the total number of members in our Research Group, including students, graduates, instructors, teaching fellows, and academic advisors. In early 2023, we are approaching 600 participants.
CHARTING IMPACT

What is the organization aiming to accomplish?

- Promote the Universal Guidelines for AI. The UGAI provides a useful framework for understanding and assessing AI policies and practices. We continue to promote the UGAI as a starting point for emerging AI policy issues, such as generative AI.

- Monitor implementation of the OECD AI Principles / G20 AI Guidelines and the UNESCO Recommendation on the Ethics of AI. International organizations have already taken important steps for the governance of AI. We have supported these initiatives and we are now working in support of implementation of these important AI policy frameworks.

- Support the establishment of new legal frameworks for AI, including the EU AI Act and the Council of Europe Convention on AI. We work closely with organizations that are seeking to establish legal norms for the governance of AI.

- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP’s work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law.

What are the organization's key strategies for making this happen?

- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP’s work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law. (as above)

- Advise national governments and international organizations on AI policies and practices. We are actively engaged with the key organization establishing the global norms for the governance of AI, including the Council of Europe Committee on AI, the European Union, UNESCO, the OECD, the G20, and the G7, and also the US Office of Science and Technology Policy.

- Publish commentaries on AI policy. We have published commentaries on AI policies in many publications including Communications of the ACM, Council on Foreign Relations, The European Law Institute, The Hill, Issues in Science and Technology Policy, Techonomy, Verfassungsblog, and others.

- Update and publish annually Artificial Intelligence and Democratic Values. We are about to publish the third edition of our report rating and ranking country AI policies and practices. We expect to cover 75 countries in our 2022 report.

- Publish weekly the CAIDP Update. We are now publishing one of the most popular AI policy newsletters in the world. Our weekly CAIDP Update has more than 30,000 subscribers.

- Support AI initiatives, projects, and campaigns that safeguard the rule of law, democratic institutions, and fundamental rights.

- Organize educational events with AI policy experts

What are the organization's capabilities for doing this?

In a little more than a year, we have built a global network of 500 AI policy experts and advocates in 60 countries. We have a strong presence on LinkedIn (over 12,000 followers) and a substantial subscriber base (over 30,000).

Leading AI policy makers look to us for advice and direction.

We have a talented, eager, dedicated staff, prepared to carry forward the mission of the organization and take on new challenges.
Operations

Source: Self-Reported by Organization, March 2023

CHAIR, RESEARCH DIRECTOR

Merve Hickok

LinkedIn profile

Merve Hickok is the Senior Research Director of the Center for AI and Digital Policy, and the Founder of AIethicist.org. Her work intersects both AI ethics and AI policy and governance. She is focused on AI bias, social justice, DE&I, public benefit and participatory development and governance – as they translate into policies and practices. Merve is a Data Ethics Lecturer at University of Michigan, School of Information; Member of the Advisory Board of Turkish Policy Quarterly Journal; Member of the Founding Editorial Board at Springer Nature AI & Ethics journal; Advisor at The Civic Data Library of Context; Member at IEEE work groups on AI standard setting and Open Community for Ethics in Autonomous and Intelligent Systems (OCEANIS) alongside national institutions. She has been recognized by several organizations - most recently as one of the 100 Brilliant Women in AI Ethics™ 2021.

PRESIDENT, EXECUTIVE DIRECTOR

Marc Rotenberg

LinkedIn profile

Marc Rotenberg is President and Founder of the Center for AI and Digital Policy. He is a leading expert in data protection, open government, and AI policy. He has served on many international advisory panels, including the OECD AI Group of Experts. Marc helped draft the Universal Guidelines for AI, a widely endorsed human rights framework for the regulation of Artificial Intelligence. Marc is the author of several textbooks including the 2020 AI Policy Sourcebook and Privacy and Society (West Academic 2016). He teaches privacy law and the GDPR at Georgetown Law. Marc has spoken frequently before the US Congress, the European Parliament, the OECD, UNESCO, judicial conferences, and international organizations. Marc has directed international comparative law studies on Privacy and Human Rights, Cryptography and Liberty, and Artificial Intelligence and Democratic Values. Marc is a graduate of Harvard College, Stanford Law School, and Georgetown Law.

BOARD CHAIR

Merve Hickok

AIethicist.org

Term: 2021 - 2024

BOARD MEMBERS

Marc Rotenberg

Karine Caunes

European Law Journal

Pablo Molina

Georgetown University

Doaa Abu-Alyounes

UNESCO

Len Kennedy

Lorraine Kisselburgh

Purdue University

Cristos Velasco

Evidencia Digital.LAT and Protección Datos México

Larissa Zutter

London School of Economics

Ursula Pachel

BEUC

Ivan Fong

Medtronic

Wonki Min

SUNY Korea
### STAFF

<table>
<thead>
<tr>
<th>Staff Type</th>
<th>Name</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Senior Staff</td>
<td>Marc Rotenberg</td>
<td>Executive Director</td>
</tr>
<tr>
<td>Senior Staff</td>
<td>Kat Norris</td>
<td>Administrative Director</td>
</tr>
<tr>
<td>Employee</td>
<td>Selim Alan</td>
<td>Communications Director</td>
</tr>
<tr>
<td>Employee</td>
<td>Giuliano Borter</td>
<td>Teaching Fellow</td>
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<tr>
<td>Employee</td>
<td>Karine Caunes</td>
<td>Instructor</td>
</tr>
<tr>
<td>Employee</td>
<td>Merve Hickok</td>
<td>Instructor</td>
</tr>
<tr>
<td>Employee</td>
<td>Larissa Zutter</td>
<td>Teaching Fellow</td>
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<tr>
<td>Employee</td>
<td>Zhuozheng (Rick) Cai</td>
<td>Law Fellow</td>
</tr>
<tr>
<td>Employee</td>
<td>Lyantoinette Chua</td>
<td>Research Fellow</td>
</tr>
<tr>
<td>Employee</td>
<td>Somaieh Nikpoor</td>
<td>Research Fellow</td>
</tr>
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## BOARD LEADERSHIP PRACTICES

GuideStar worked with BoardSource, the national leader in nonprofit board leadership and governance, to create this section, which enables organizations and donors to transparently share information about essential board leadership practices.

### BOARD ORIENTATION & EDUCATION

Does the board conduct a formal orientation for new board members and require all board members to sign a written agreement regarding their roles, responsibilities, and expectations?  
Yes

### CEO OVERSIGHT

Has the board conducted a formal, written assessment of the chief executive within the past year?  
No

### ETHICS & TRANSPARENCY

Have the board and senior staff reviewed the conflict-of-interest policy and completed and signed disclosure statements in the past year?  
Yes

### BOARD COMPOSITION

Does the board ensure an inclusive board member recruitment process that results in diversity of thought and leadership?  
Yes

### BOARD PERFORMANCE

Has the board conducted a formal, written self-assessment of its performance within the past three years?  
No
Organizational Demographics

Who works and leads organizations that serve our diverse communities? This organization has voluntarily shared information to answer this important question and to support sector-wide learning. GuideStar partnered on this section with CHANGE Philanthropy and Equity in the Center.

Leadership

The organization's leader identifies as:

No data

Race & Ethnicity

No data

Gender Identity

<table>
<thead>
<tr>
<th>Gender Identity</th>
<th>Male</th>
<th>Female</th>
<th>Non-binary</th>
<th>Unknown or decline to state</th>
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<tr>
<td>Board Members</td>
<td>12</td>
<td>6</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Sexual Orientation

No data

Disability

No data.

We do not display staff or senior staff disability information for organizations with fewer than 15 staff.
Equity Strategies  Last Updated: 08/30/2022

Candid partnered with Equity in the Center - a project that works to shift mindsets, practices, and systems within the social sector to increase racial equity - to create this section. Learn More

Data

✔️ We review compensation data across the organization (and by staff levels) to identify disparities by race.

✔️ We have long-term strategic plans and measurable goals for creating a culture such that one's race identity has no influence on how they fare within the organization.

Policies and processes

✔️ We have a promotion process that anticipates and mitigates implicit and explicit biases about people of color serving in leadership positions.

✔️ We seek individuals from various race backgrounds for board and executive director/CEO positions within our organization.

✔️ We help senior leadership understand how to be inclusive leaders with learning approaches that emphasize reflection, iteration, and adaptability.
Appendix

**Key Documents**

<table>
<thead>
<tr>
<th>Document Type</th>
<th>Availability</th>
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<tbody>
<tr>
<td>IRS Forms 990</td>
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<tr>
<td>IRS Forms 990T</td>
<td>Not Available</td>
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<tr>
<td>Audited Financial Statements</td>
<td><a href="#">2021 Audited Financial Statement</a></td>
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<td>Key Organization Documents</td>
<td><a href="#">Form 1023/1024</a></td>
</tr>
<tr>
<td></td>
<td><a href="#">2021 Annual Report</a></td>
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</table>
Center for AI and Digital Policy

Aka CENTER FOR AI AND DIGITAL POLICY

1100 13th St. NW Suite 800
Washington, DC 20008

✔ Foundation Status Code: PC*
✔ Public charity described in section 509(a)(1) or (2)

IRSI Publication 78 Details

<table>
<thead>
<tr>
<th>Organization name</th>
<th>Location</th>
<th>Most recent IRS Publication 78</th>
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<tbody>
<tr>
<td>Caidp</td>
<td>Washington, DC</td>
<td>December 2022</td>
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<table>
<thead>
<tr>
<th>EIN</th>
<th>Deductibility status description</th>
<th>Verified with most recent Internal Revenue Bulletin</th>
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<tr>
<td>86-3350258</td>
<td>A public charity (50% deductibility limitation).</td>
<td>March 06, 2023</td>
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IRS Business Master File Details

<table>
<thead>
<tr>
<th>Organization name</th>
<th>Most recent IRS BMF</th>
<th>Reason for Non-Private Foundation Status</th>
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<tbody>
<tr>
<td>CAIDP</td>
<td>February 14 2023</td>
<td>Section 509(a)(1) organization as referred to in Section 170(b)(1)(A)(vi)</td>
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</table>

<table>
<thead>
<tr>
<th>EIN</th>
<th>IRS subsection</th>
<th>Ruling date</th>
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</thead>
<tbody>
<tr>
<td>86-3350258</td>
<td>This organization is a 501(c)(3) Public Charity</td>
<td>12/2021</td>
</tr>
</tbody>
</table>

This organization was not included in the Office of Foreign Assets Control Specially Designated Nationals (SDN) list.

On September 8, 2011, the IRS issued regulations which eliminated the advance ruling process for a section 501(c)(3) organization. Learn more

* The Foundation Status Code is the code that foundations are required to provide for each grantee annually on part XV of Form 990PF. Note that this code cannot be derived in some cases (e.g., supporting organizations for which 'type' can’t be determined).

IRS Revenue Procedure 2011-33 allows grantors to rely on third-party resources, such as GuideStar Charity Check, to obtain required Business Master File (BMF) data concerning a potential grantee’s public charity classification under section 509 (a) (1), (2) or (3).

GuideStar Charity Check Data Sources

- GuideStar acquires all IRS data directly from the Internal Revenue Service.
- The IRS Publication 78 (Cumulative List of Organizations) lists organizations that have been recognized by the Internal Revenue Service as eligible to receive tax-deductible contributions.
- The IRS Internal Revenue Bulletin (IRB) lists changes in charitable status since the last Publication 78 release. Between the release of IRS Publication 78 and the subsequent IRS Internal Revenue Bulletin, the IRB date will reflect the most recent release date of IRS Publication 78.
- The IRS Business Master File lists approximately 1.7 million nonprofits registered with the IRS as tax-exempt organizations.
- The IRS Automatic Revocation of Exemption List contains organizations that have had their federal tax-exempt status automatically revoked for failing to file an annual return or notice with the IRS for three consecutive years.
- The Foundation Status Code is a value derived by mapping the codes found on the 990PF filing instructions to the corresponding codes in the IRS BMF. Note that not all codes are able to be mapped due to insufficient data.
- The Office of Foreign Assets Control (OFAC) Specially Designated Nationals (SDN) list contains organizations that are owned or controlled by targeted individuals, groups, and entities, such as terrorists or narcotics traffickers. Their assets are blocked and U.S. persons are generally prohibited from dealing with them.